## **CITY OF COSTA MESA**



CALIFORNIA 92628-1200

P.O. BOX 1200

FROM THE OFFICE OF THE DIRECTOR, DEPARTMENT OF PUBLIC SERVICES

March 4, 2016

#### SUBJECT: REQUEST FOR PROPOSALS - ENGINEERING SERVICES FOR WEST 17<sup>TH</sup> STREET WIDENING IMPROVEMENTS

Dear Consultant:

The City of Costa Mesa is requesting proposals for professional engineering services for improvements to widen West 17<sup>th</sup> Street from Placentia Avenue to Superior Avenue. The scope of services generally consists of the following:

- Phase 1: Detailed topographic survey
- Phase 2: Preliminary Design Plan
- Phase 3: Right-of-way engineering and legal descriptions
- Phase 3: Final civil design plans, specifications, and estimates (PS&E)

Final PS&E shall be developed as a turnkey project for advertisement and construction.

#### BACKGROUND

The intent of the proposed project is to plan infrastructure improvements to meet current and future traffic demands and, ultimately widen West 17<sup>th</sup> Street to Master Plan of Arterial Highways (MPAH) standards. According to the Orange County Transportation Authority's (OCTA) MPAH, West 17<sup>th</sup> Street is classified as a four-lane Primary Arterial between Newport Boulevard and Placentia Avenue. Currently, West 17<sup>th</sup> Street has four lanes between Newport Boulevard and Pomona Avenue. West of Pomona Avenue, 17<sup>th</sup> Street narrows to one lane in each direction with a two-way left-turn lane and on-street parking. The project will be designed to meet the intent of the recommended roadway widths/geometrics as specified in the OCTA MPAH. The project will include developing and analyzing alternative widening alignments, preparing construction documents for the selected widening alignment and identifying right-of-way needs for the implementation of this widening project.

As widening W. 17<sup>th</sup> Street requires significant additional right-of-way, it is important to design the project and identify the needs, so that the project may be implemented in a cost effective and a phased manner. The design will consider various alignment alternatives for widening W. 17<sup>th</sup> Street so as to minimize right-of-way impacts. An alternative may involve designing W. 17<sup>th</sup> Street to Secondary Arterial standards, which may provide the required four lanes capacity without a median. Another alternative could be designing W. 17<sup>th</sup> Street to a Divided Collector standards, which would provide one lane in each direction with divided median and bicycle lanes.

#### SCOPE OF SERVICES

The subject scope of services is intended as a "Turnkey" project to maintain a responsible and comprehensive base for all project development. Tasks shall be coordinated to effectively develop interrelated project elements; the project shall not be advanced until preliminary requirements are addressed and clear direction is established. The consultant shall have total responsibility for the accuracy and completeness of all work and services.

The following description of work defines the general project requirements. Associated tasks and provisions not specifically defined herein are requested to be addressed in the proposal and undertaken within the proposed "Not to Exceed" contract fee.

### PHASE I - Topographic Survey, Utility Research & Project Data Collection

The project area is defined as West 17<sup>th</sup> Street, from Placentia Avenue to Superior Avenue. This phase consists of defining physical conditions and utilities within the project area including the following:

- 1. Meet with City staff to define and clarify the work plan and project elements.
- 2. Review existing plans and materials, obtain a City permit, and secure right-of-entry for survey and geotechnical fieldwork.
- 3. Perform a topographic survey extending through the project area to establish horizontal and vertical controls at 10' intervals. The survey shall extend 50' outside of the City right-of-way within adjacent private parcels and 100' into cross streets. Establish existing and proposed controls including centerline, street geometrics, and right-of-way throughout project limits. Reference elevations to the closest and latest Orange County Benchmark (OCBM).
- 4. Establish City and private right-of-way boundaries with the same general care as would be applied to establish the exterior boundary on a final subdivision map. The consultant shall:
  - a. Recover or reestablish monumentation of points controlling in the deed description which created the City right-of-way boundary.
  - b. Recover all existing City right-of-way boundary line monumentation documented in County and City records.
  - c. By field survey, tie controlling monuments and boundary line monuments to each other and to the Orange County Horizontal Control Network.
  - d. Establish the existing City right-of-way boundary and document all work in a "Before Condition" Record of Survey.
  - e. Obtain a litigation guarantee/title report and define the exact limits of each private parcel, estimated for three (3) parcels.
- 5. Research and establish the precise location of all utilities and utility easements. Coordinate with all utility companies to determine underground, surface, and overhead facilities. Comply with the City adopted "Utility Coordination Procedures." Determine where interfaces with existing facilities will occur as a result of the future construction of this project. Consult with

affected utility companies and resolve any conflicts, keeping City staff informed in writing. Maintain a Utility File on all utility documentation.

7. Plot the detailed survey notes and electronic mapping files at 40 scale via CAD on 24" X 36" sheets identifying all existing conditions. Physical features shall include BCR, ECR, flowlines, centerlines, angle points, top of curb, driveways (width, X & Y), spandrels, pavement striping, utilities, structures, walls, trees and landscape, underground and surface utilities, poles, hydrants, catch basins, signs, valves, and manholes, etc. Within all adjacent, private properties, define driveways, walkways, curbs, stairs, parking lots and parking stall layouts, buildings, planters, patios, signs, and all other physical features.

#### PHASE II – Preliminary Design Plan

This phase consists of analysis of various widening schemes, selection of a preferred preliminary alignment, preliminary design of the improvements, analysis of environmental impacts of the roadway widening, and preparation of an environmental document to assess alternative alignment scenarios for improvements on West 17th Street.

The Consultant shall review the City proposed widening concept and the collected data and prepare up to 3 alternative preliminary widening alignments to determine the most cost effective widening alignment which meets the intent of the OCTA MPAH. Preliminary Design Plan engineering services shall include:

- 1. Prepare preliminary alignment concepts for the proposed improvements, identify associated impacts and costs. The concepts should include existing and proposed right-of-way, curbs, sidewalks, driveways, striping, bus stops, medians, centerlines, etc. The concept plans will be used to determine the preferred alignment plan. The preferred alignment should reduce construction and right-of-way costs and minimize unavoidable impacts to private improvements. A detailed cost estimate itemizing all construction and right-of-way elements should be prepared.
- 2. The Consultant shall perform the necessary environmental analysis to assess the alternative alignment scenarios and prepare environmental analysis documents suitable for a federally funded construction project.

#### PHASE III - Right-of-Way Engineering

The Consultant shall review the selected preliminary alignment plan to determine right-of-way requirements, impacts, and costs. Right-of-way engineering services shall include:

- 1. Obtain and review all existing right-of-way documentation of the project area, assessor maps, building site plans, and parcel maps for each affected property.
- 2. Facilitate the execution of all right-of-entry agreements as required for survey and geotechnical work.
- 3. Procure and review current litigation guarantees/title reports, development tract maps, building plans, and associated property documentation for each affected property. Costs incurred to procure this documentation shall be included in the contract fee.

- 4. Determine all easement locations including utilities, existing easement facilities, and disposition of affected facilities and easements with alternative alignments.
- 5. Identify square footage to be acquired and itemize project impacts resulting from each affected property.
- 6. Interview owners and tenants, present the proposed project, and assess the extent of concerns conveyed at the meeting.
- 7. Prepare itemized cost estimates for acquisitions per parcel and per alignment plan, and estimate severance and/or cost-to-cure damages (excludes formal appraisal services).

Upon approval of the final alignment of the proposed improvements, perform calculations to establish precise right-of-way acquisition areas for each parcel to be acquired. Review and verify traverse closures and area calculations for each parcel. Prepare legal descriptions and acquisition maps for each parcel. The Consultant shall plot on 8-1/2" x 11" vellum acquisition maps per City standard layout. Maps shall be drawn in ink, by AutoCAD, or by another approved method. Process for City review and provide five (5) copies for each parcel of the final legal description, deed, exhibits, and right-of-way map for City execution.

#### PHASE IV - Plans, Specifications & Estimates

The preparation of final civil design plans, specifications and estimates, and utility coordination shall conform to MUTCD, Caltrans, and City standards. Plan and profile will be required for the existing and proposed elevations. Plans shall be 1" = 20' scale horizontally and 1"=2' vertically on standard 24" x 36" sheets. AutoCAD latest version shall be utilized, completed on 4 mil. erasable mylar per the City standards. Plans are to be fully detailed to advertise and construct the project, including:

< Surveying	< Hydrology
< Geotechnical analysis	< Plan and profile drawings
< Traffic signal modification plan	< Curb, gutter, & sidewalk
< Signing and striping plan	< Contract documents
< Special provisions	< ADA improvement details
< Processing and approvals	< Utility adjustments/relocations

- < Private on-site reconfiguration including landscaping and irrigation adjustments; and lighting and sign relocations
- 1. Plot all physical features including BCR, ECR, flow-lines, centerlines, angle points, top of curb, handicap ramps, pavement striping, structures, trees, underground and surface utilities, poles, fire hydrants, catch basins, signs, water valves, manholes, etc. Within all adjacent private properties, define driveways, walkways, curbs, walls, AC and parking stall layouts, buildings, planters and irrigation, signs, lighting, utilities, and all other physical features. Based on the topographic survey, establish exact centerline controls, street geometrics, and right-of-way limits of project.

- 2. Prepare final intersection grid grades at 10' intervals for the subject segment of W. 17<sup>th</sup> Street and provide elevations at TC, FL, EG, EP, BCR, ECT, ¼ and ½ curb return, at 1"=10' scale. Prepare final cross sections at 25' intervals, indicating vertical and horizontal cross falls, elevations, analysis of super elevations/highway design speed calculations, and join elevations and details to private property, etc., conforming to City standards. Cross sections shall be submitted with the first plan check.
- 3. Utilities Perform all necessary research to establish precise location of all utilities and utility easements. Coordinate with all utility companies to determine the nature and location of all possible relocations and associated costs. Comply with the City adopted "<u>Utility Coordination Procedures</u>" attached. Determine where interfaces with existing facilities will occur as a result of the construction of this project. Consult with affected utility companies requiring relocations, and resolve any conflicts, keeping City staff informed in writing, including the possibility of undergrounding utilities presently on poles along the project area. Compile information in "Utility File" and submit to City.
- 4. The consultant shall include \$10,000 as a separate item in the fee schedule for the City's use for potholing for utilities as requested by the consultant and approved in writing by the City's Project Manager. The Consultant will not be compensated for any other work from this item. Identifying all underground conflicting utilities is critical and it is the consultant's responsibility to ensure all utilities are properly identified and located on the plans.
- 5. Geotechnical/Materials report Obtain, analyze, and document geologic and engineering data averaging five feet in depth for the project area (estimated three locations), and develop R-value and pavement design recommendations. The Geotechnical report shall include:
  - Review of readily available background materials, including published geologic maps and literature, in-house information, and stereoscopic aerial photographs. Consultant shall also review preliminary project plans, as-built project plans and specifications, log of test boring sheets, and existing structure foundation reports, if available.
  - Performance of a geotechnical site reconnaissance to observe the geotechnical conditions along the proposed alignment.
  - Provide borings at three (3) locations determined by the Consultant and approved by the City. Borings shall be a minimum of 6" wide and average 5' deep, with cores backfilled and compacted at 95% with AC material immediately following work. Provide boring log and maps showing dimensions of cores and horizontal distances from identifiable roadway points.
  - Establishing existing structural section, R-value, moisture content, expansiveness, caving potential, water level, traffic indices, and sand equivalency. Determine estimated range and degree of soil contamination if encountered. The City will provide the average daily traffic volume data.
  - Preparation of a Geotechnical Report presenting the results of the data review and findings, conclusions, and recommendations relative to the geotechnical aspects of the project's design and construction. Identify recommendations for treatment and tabulate soil information in table format for existing and proposed conditions.

- 6. Prepare new traffic signal plans for the intersection of West 17<sup>th</sup> Street at Pomona Avenue and traffic signal modification plans for the intersection of West 17<sup>th</sup> Street at Placentia Avenue. The consultant shall coordinate with Edison on service requirements and any necessary street light and power pole relocations.
- Hydrologic/hydraulic Report Analyze hydrologic/hydraulic conditions, develop details for standard longitudinal and cross fall drainage, and document findings and design calculations. Define removals and replacement of drainage pipe and design catch basin relocations, modifications, and repairs as needed.
- 8. Define and document Water Pollution Control requirements.
- 9. Define new monuments or re-setting of existing monuments and provide detailed drawings fully dimensioned for each.
- 10. Traffic control plans are not required. However, the specifications shall concisely define the lane and detour closure approach to minimize traffic and pedestrian impacts, and accommodate staged work requirements.
- 11. Complete project contract documents and special provisions in a format consistent with current City projects and guidelines. A sample of the construction proposal form and contract agreement will be furnished to the Consultant by the City.
- 12. The Consultant will be requested to review and approve addenda and provide clarification to plans and specifications. Consultant shall attend the pre-construction meeting, and shall be available for consultation and assistance during construction of the project to clarify or explain items relating to the design. A sub-line item fee for "Construction Technical Support" may be included under this phase, to be included within the scope of work at the discretion of the City, contingent on fee and services.
- 13. For construction budgeting purposes, submit to the City preliminary construction estimates with PS&E submittals at 70% and 90% completion, and any significant updates of the estimates as design work progresses. Prepare the final detailed construction quantity and cost estimate. Plans and specifications shall be signed and stamped by the Consultant before submitting.
- 14. Conduct a field walk-through with the City during the first and second PS&E submittal.
- 15. Prepare and submit a Resident Engineer's file containing, at a minimum, final construction quantities and cost estimates with background calculation work sheets; soil and hydrology reports; survey data; Utility File; Right-of-Way File, and all relative project information.
- 16. The selected Consultant shall include items not specified as necessary to achieve completion and approval of the final design plans, specifications and estimates.

Quality Assurance/Quality Control - Quality Control shall be consistently and thoroughly applied throughout project development. Assigned QA/QC staff shall be technically well qualified to conduct the appropriate level of oversight, and demonstrate a concerted and sustained commitment to provide a high quality product. Concise written records shall be

maintained by the Consultant on all activities. Firms considering proposal submittals are requested to have an in-house technical level of expertise to professionally address all aspects of the project.

Project Design meetings shall be held once a month. The consultant shall be responsible for preparing meeting agendas, minutes, and presentation materials. A Critical Path Method (CPM) network, based on activities to support all project milestones and subtasks shall be prepared. The information will be in the form of a bar chart and show a deliverables schedule and other relevant data needed for the control of work, for City review of the work status and accomplishments occurring each month. A copy of the CPM software program and monthly updates shall be furnished to the City Project Manager.

#### **Content of Proposal**

It is requested that the following be submitted with your proposal:

- 1. Project Understanding provide a brief review of the project and any suggestions you might have to expedite the project or special concerns of which the City should be advised.
- 2. Work Plan define the project approach, team assignments, and products.
- 3. Schedule provide a detailed schedule indicating stages of work and time frames.
- 4. An organization chart and staffing plan identifying personnel on this project, with a brief resume on each individual (two pages max per person) and recent projects on which they have worked of a similar type. Identify the project manager with a detailed resume, and the individual authorized to negotiate the contract on behalf of the consulting firm.
- 5. A listing of similar street improvement projects that your firm has completed within the last five years. Information should include a description of work, year completed, cost, and agency/client name along with the agency's contact person.
- 6. Comply with Professional Services Agreement requirements (see attached PSA).
- 7. Submittal of **four (4)** duplicate proposals.

#### Fee Schedule

The professional services contract will not be awarded based upon competitive bidding, and it is desired that fees be submitted separately. The fee schedule should show the hourly cost of personnel per task under each phase, with a total not-to-exceed amount for the project. The consultant's cost proposal for the prime and subcontractors should contain a breakdown of all cost components including labor base rate, other direct costs, overhead, and fees. It is requested that the fee, including all meetings, reproduction, materials, mailings, and associated project expenses, be itemized under the following phases:

Phase I:	Detailed topographic survey and Preliminary Design Plan	\$
Phase II:	Right-of-way engineering and legal descriptions	\$
	Final PS&E	\$

**NOTE:** All originals of plans, field notes, data and calculations, correspondence, reports, electronic files, etc., will be turned over to the City upon completion of design. Ten percent (10%) of the total contract fee will be withheld until the final PS&E, Resident Engineers File, and all project documents are submitted in acceptable form to the City.

#### **Contract Changes**

Any change in the scope of work resulting in a contract increase or decrease in fee shall be approved by the City **in writing prior** to commencement of actual change in work. No fee adjustment will be allowed unless said **prior** approval is authorized exclusively **in writing** by the City, without exception.

#### **Right to Reject all Proposals**

The City of Costa Mesa reserves the right to reject any or all proposals submitted, and no representation is made hereby that any contract will be awarded pursuant to this request for proposal, or otherwise. All costs incurred in the preparation of the proposal, in the submission of additional information, and/or in any other aspect of a proposal prior to the award of a written contract will be borne by respondent. The City will provide only the staff assistance and documentation specifically referred to herein and will not be responsible for any other cost or obligation of any kind that may be incurred by a respondent. All proposals submitted to the City of Costa Mesa in response to this request for proposals shall become the property of the City.

Enclosed is the City of Costa Mesa professional services standard agreement and sample certificate of insurance for reference in preparing the proposal. The minimum insurance and endorsement requirements are stated within the enclosed documents. Should your firm be interested in submitting a proposal for this project, please forward to the City of Costa Mesa, Transportation Services Division, 4<sup>th</sup> Floor, City Hall, **on or before 5:00 p.m., April 1, 2016**. If additional information is required, please contact Pritam Deshmukh, Associate Engineer, at (714) 754-5183, or email at: pritam.deshmukh@costamesaca.gov.

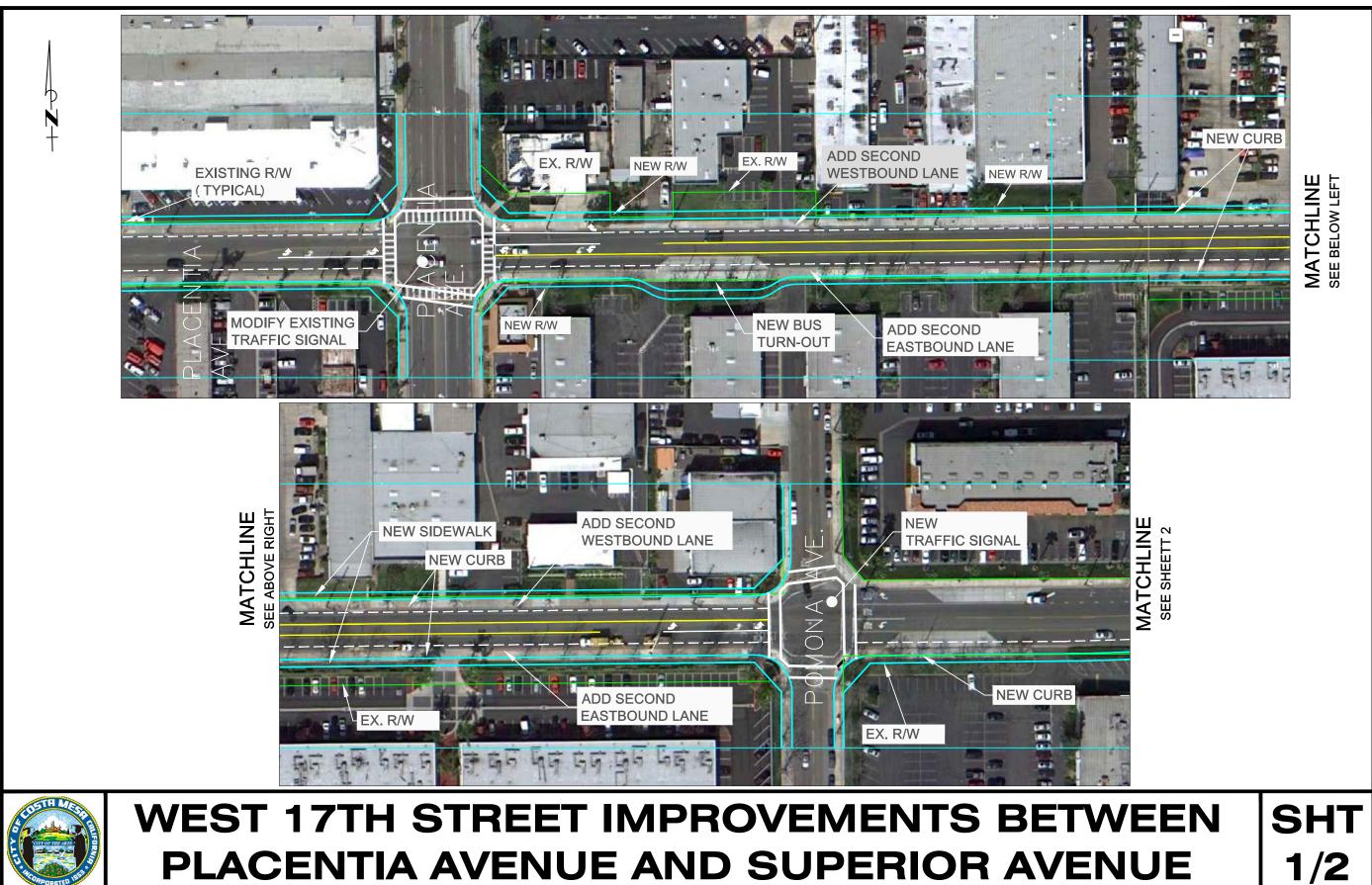
Sincerely,

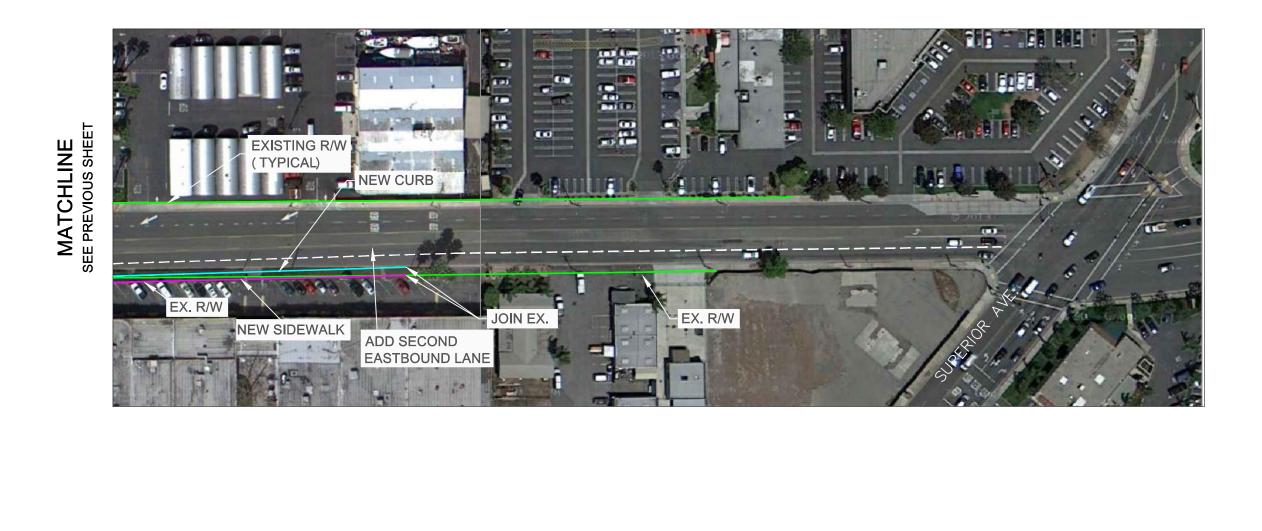
Reja Setteman

RAJA SETHURAMAN, Manager Transportation Services

- Attachments: 1. Aerial Map Concept Plan2. City Standard Agreement and Certificate of Insurance Forms
- c Ernesto Munoz, Public Services Director Pritam Deshmukh, Associate Engineer









# WEST 17TH STREET IMPROVEMENTS BETWEEN PLACENTIA AVENUE & SUPERIOR AVENUE



This Agreement template is for informational purposes only and is intended for use as an example to vendors showing the City's requirements. When making a request, please submit only the Request form, above, and supporting documents.

#### PROFESSIONAL SERVICES AGREEMENT FOR

THIS AGREEMENT is made and entered into this \_\_\_\_\_ day of \_\_\_\_\_, 2007 ("Effective Date"), by and between the CITY OF COSTA MESA, a municipal corporation ("City"), and \_\_\_\_\_\_, a California corporation ("Consultant").

#### WITNESSETH:

A. WHEREAS, City proposes to have Consultant perform \_\_\_\_\_\_ as described herein below; and

B. WHEREAS, Consultant represents that it has that degree of specialized expertise contemplated within California Government Code, Section 37103, and holds all necessary licenses to practice and perform the services herein contemplated; and

C. WHEREAS, City and Consultant desire to contract for specific services in connection with the project described below (the "Project") and desire to set forth their rights, duties and liabilities in connection with the services to be performed; and

D. WHEREAS, no official or employee of City has a financial interest, within the provisions of California Government Code, Sections 1090-1092, in the subject matter of this Agreement.

NOW, THEREFORE, for and in consideration of the mutual covenants and conditions contained herein, the parties hereby agree as follows:

#### **1.0. SERVICES PROVIDED BY CONSULTANT**

1.1. <u>Scope of Services</u>. Consultant shall provide the professional services described in the City's Request for Proposal ("RFP") attached hereto as Exhibit "A" and incorporated herein by reference and Consultant's Response to City's RFP (the "Response"). A copy of said Response is attached hereto as Exhibit "B" and incorporated herein by this reference.

1.2. <u>Professional Practices</u>. All professional services to be provided by Consultant pursuant to this Agreement shall be provided by personnel experienced in their respective fields and in a manner consistent with the standards of care, diligence and skill ordinarily exercised by professional consultants in similar fields and circumstances in accordance with sound professional practices. Consultant also warrants that it is familiar with all laws that may affect its performance of this Agreement and shall advise City of any changes in any laws that may affect Consultant's performance of this Agreement.

1.3. Warranty. Consultant warrants that it shall perform the services required by this

Agreement in compliance with all applicable Federal and California employment laws including, but not limited to, those laws related to minimum hours and wages; occupational health and safety; fair employment and employment practices; workers' compensation insurance and safety in employment; and all other Federal, State and local laws and ordinances applicable to the services required under this Agreement. Consultant shall indemnify and hold harmless City from and against all claims, demands, payments, suits, actions, proceedings, and judgments of every nature and description including attorneys' fees and costs, presented, brought, or recovered against City for, or on account of any liability under any of the above-mentioned laws, which may be incurred by reason of Consultant's performance under this Agreement.

1.4. <u>Non-discrimination</u>. In performing this Agreement, Consultant shall not engage in, nor permit its agents to engage in, discrimination in employment of persons because of their race, religion, color, national origin, ancestry, age, physical handicap, medical condition, marital status, sexual gender or sexual orientation, except as permitted pursuant to Section 12940 of the Government Code. Violation of this provision may result in the imposition of penalties referred to in Labor Code, Section 1735.

1.5 <u>Non-Exclusive Agreement</u>. Consultant acknowledges that City may enter into agreements with other consultants for services similar to the services that are subject to this Agreement or may have its own employees perform services similar to those services contemplated by this Agreement.

1.6. <u>Delegation and Assignment</u>. This is a personal service contract, and the duties set forth herein shall not be delegated or assigned to any person or entity without the prior written consent of City. Consultant may engage a subcontractor(s) as permitted by law and may employ other personnel to perform services contemplated by this Agreement at Consultant's sole cost and expense.

#### 2.0. COMPENSATION AND BILLING

2.1. <u>Compensation</u>. Consultant shall be paid in accordance with the fee schedule set forth in Exhibit "C," attached hereto and made a part of this Agreement (the "Fee Schedule"). Consultant's compensation shall in no case exceed \_\_\_\_\_ Dollars (\$\_\_\_\_\_00).

2.2. <u>Additional Services</u>. Consultant shall not receive compensation for any services provided outside the scope of services specified in the Response unless the City or the Project Manager for this Project, prior to Consultant performing the additional services, approves such additional services in writing. It is specifically understood that oral requests and/or approvals of such additional services or additional compensation shall be barred and are unenforceable.

2.3. <u>Method of Billing</u>. Consultant may submit invoices to City's Project Manager for approval on a progress basis, but no more often than monthly. Said invoice shall be based on the total of all Consultant's services which have been completed to City's sole satisfaction. City shall pay Consultant's invoice within forty-five (45) days from the date City receives said invoice. Each invoice shall describe in detail, the services performed and the associated time for completion. Any additional services approved and performed pursuant to this Agreement shall be designated as "Additional Services" and shall identify the number of the authorized change order, where applicable, on all invoices.

2.4. <u>Records and Audits</u>. Records of Consultant's services relating to this Agreement shall be maintained in accordance with generally recognized accounting principles and shall be made available to City or its Project Manager for inspection and/or audit at mutually convenient times for a period of three (3) years from the Effective Date.

#### **3.0. TIME OF PERFORMANCE**

3.1. <u>Commencement and Completion of Work</u>. The professional services to be performed pursuant to this Agreement shall commence within five (5) days from the Effective Date of this Agreement. Said services shall be performed in strict compliance with the Project Schedule approved by City as set forth in Exhibit "D," attached hereto and incorporated herein by this reference. The Project Schedule may be amended by mutual agreement of the parties. Failure to commence work in a timely manner and/or diligently pursue work to completion may be grounds for termination of this Agreement.

3.2. <u>Excusable Delays</u>. Neither party shall be responsible for delays or lack of performance resulting from acts beyond the reasonable control of the party or parties. Such acts shall include, but not be limited to, acts of God, fire, strikes, material shortages, compliance with laws or regulations, riots, acts of war, or any other conditions beyond the reasonable control of a party.

#### 4.0. TERM AND TERMINATION

4.1. <u>Term</u>. This Agreement shall commence on the Effective Date and continue for a period of \_\_\_\_\_(X) year, ending on \_\_\_\_\_\_, unless previously terminated as provided herein or as otherwise agreed to in writing by the parties.

4.2. <u>Notice of Termination</u>. The City reserves and has the right and privilege of canceling, suspending or abandoning the execution of all or any part of the work contemplated by this Agreement, with or without cause, at any time, by providing written notice to Consultant. The termination of this Agreement shall be deemed effective upon receipt of the notice of termination. In the event of such termination, Consultant shall immediately stop rendering services under this Agreement unless directed otherwise by the City.

4.3. <u>Compensation</u>. In the event of termination, City shall pay Consultant for reasonable costs incurred and professional services satisfactorily performed up to and including the date of City's written notice of termination. Compensation for work in progress shall be prorated as to the percentage of work completed as of the effective date of termination in accordance with the fees set forth herein. In ascertaining the professional services actually rendered hereunder up to the effective date of termination of this Agreement, consideration shall be given to both completed work and work in progress, to complete and incomplete drawings, and to other documents pertaining to the services contemplated herein whether delivered to the City or in the possession of the Consultant.

4.4 <u>Documents</u>. In the event of termination of this Agreement, all documents prepared by Consultant in its performance of this Agreement including, but not limited to, finished or unfinished design, development and construction documents, data studies, drawings, maps and reports, shall be delivered to the City within ten (10) days of delivery of termination notice to Consultant, at no cost to City. Any use of uncompleted documents without specific written authorization from Consultant shall be at City's sole risk and without liability or legal expense to Consultant.

#### 5.0. INSURANCE

5.1. <u>Minimum Scope and Limits of Insurance</u>. Consultant shall obtain and maintain during the life of this Agreement all of the following insurance coverages:

- (a) Comprehensive general liability, including premises-operations, products/completed operations, broad form property damage, blanket contractual liability, independent contractors, personal injury with a policy limit of not less than One Million Dollars (\$1,000,000.00), combined single limits, per occurrence and aggregate.
- (b) Automobile liability for owned vehicles, hired, and non-owned vehicles, with a policy limit of not less than One Million Dollars (\$1,000,000.00), combined single limits, per occurrence and aggregate.
- (c) Workers' compensation insurance as required by the State of California.
- (d) Professional errors and omissions ("E&O") liability insurance with policy limits of not less than One Million Dollars (\$1,000,000.00), combined single limits, per occurrence and aggregate. Consultant shall obtain and maintain, said E&O liability insurance during the life of this Agreement and for three years after completion of the work hereunder.

5.2. <u>Endorsements</u>. The comprehensive general liability insurance policy shall contain or be endorsed to contain the following provisions:

- (a) Additional insureds: "The City of Costa Mesa and its elected and appointed boards, officers, agents, and employees are additional insureds with respect to this subject project and contract with City."
- (b) Notice: "Said policy shall not terminate, nor shall it be cancelled, nor the coverage reduced, until thirty (30) days after written notice is given to City."
- (c) Other insurance: "Any other insurance maintained by the City of Costa Mesa shall be excess and not contributing with the insurance provided by this policy."

5.3. <u>Certificates of Insurance</u>: Consultant shall provide to City certificates of insurance showing the insurance coverages and required endorsements described above, in a form and content approved by City, prior to performing any services under this Agreement.

5.4. <u>Non-limiting</u>: Nothing in this Section shall be construed as limiting in any way, the indemnification provision contained in this Agreement, or the extent to which Consultant may be held responsible for payments of damages to persons or property.

#### 6.0. GENERAL PROVISIONS

6.1. <u>Entire Agreement</u>: This Agreement constitutes the entire Agreement between the parties with respect to any matter referenced herein and supersedes any and all other prior writings and oral negotiations. This Agreement may be modified only in writing, and signed by the parties in interest at the time of such modification. The terms of this Agreement shall prevail over any inconsistent provision in any other contract document appurtenant hereto, including exhibits to this Agreement.

6.2. <u>Representatives</u>. The City Manager or his designee shall be the representative of City for purposes of this Agreement and may issue all consents, approvals, directives and agreements on behalf of the City, called for by this Agreement, except as otherwise expressly provided in this Agreement.

Consultant shall designate a representative for purposes of this Agreement who shall be authorized to issue all consents, approvals, directives and agreements on behalf of Consultant called for by this Agreement, except as otherwise expressly provided in this Agreement.

6.3. <u>Project Managers</u>. City shall designate a Project Manager to work directly with Consultant in the performance of this Agreement.

Consultant shall designate a Project Manager who shall represent it and be its agent in all consultations with City during the term of this Agreement. Consultant or its Project Manager shall attend and assist in all coordination meetings called by City.

6.4. <u>Notices</u>: Any notices, documents, correspondence or other communications concerning this Agreement or the work hereunder may be provided by personal delivery, facsimile or mail and shall be addressed as set forth below. Such communication shall be deemed served or delivered: a) at the time of delivery if such communication is sent by personal delivery; b) at the time of transmission if such communication is sent by facsimile; and c) 48 hours after deposit in the U.S. Mail as reflected by the official U.S. postmark if such communication is sent through regular United States mail.

IF TO CONSULTANT:

IF TO CITY:

	City of Costa Mesa
	77 Fair Drive
	Costa Mesa, CA 92626
Tel:	Tel: 714-754-
Fax:	Fax: 714-754-
Attn:	Attn:

6.5. <u>Drug-free Workplace Policy</u>. Consultant shall provide a drug-free workplace by complying with all provisions set forth in City's Council Policy 100-5, attached hereto as Exhibit "C" and incorporated herein by reference. Consultant's failure to conform to the requirements

set forth in Council Policy 100-5 shall constitute a material breach of this Agreement and shall be cause for immediate termination of this Agreement by City.

6.6. <u>Attorneys' Fees</u>: In the event that litigation is brought by any party in connection with this Agreement, the prevailing party shall be entitled to recover from the opposing party all costs and expenses, including reasonable attorneys' fees, incurred by the prevailing party in the exercise of any of its rights or remedies hereunder or the enforcement of any of the terms, conditions, or provisions hereof.

6.7. <u>Governing Law</u>: This Agreement shall be governed by and construed under the laws of the State of California without giving effect to that body of laws pertaining to conflict of laws. In the event of any legal action to enforce or interpret this Agreement, the parties hereto agree that the sole and exclusive venue shall be a court of competent jurisdiction located in Orange County, California.

6.8. <u>Assignment</u>: Consultant shall not voluntarily or by operation of law assign, transfer, sublet or encumber all or any part of Consultant's interest in this Agreement without City's prior written consent. Any attempted assignment, transfer, subletting or encumbrance shall be void and shall constitute a breach of this Agreement and cause for termination of this Agreement. Regardless of City's consent, no subletting or assignment shall release Consultant of Consultant's obligation to perform all other obligations to be performed by Consultant hereunder for the term of this Agreement.

6.9. <u>Indemnification and Hold Harmless</u>: Consultant shall protect, defend, indemnify and hold harmless City and its elected and appointed officials, officers, and employees from any and all claims, liabilities, expenses, including attorney fees, damage to property or injuries to or death of any person or persons or damages of any nature including, but not by way of limitation, all civil claims or workers' compensation claims arising out of or in any way connected with the intentional or negligent acts, error or omissions of Consultant, its employees, agents or subcontractors in the performance of this Agreement.

6.10. <u>Independent Contractor</u>: Consultant is and shall be acting at all times as an independent contractor and not as an employee of City. Consultant shall secure, at his expense, and be responsible for any and all payment of Income Tax, Social Security, State Disability Insurance Compensation, Unemployment Compensation, and other payroll deductions for Consultant and its officers, agents, and employees, and all business licenses, if any are required, in connection with the services to be performed hereunder.

6.11. <u>Ownership of Documents</u>: All findings, reports, documents, information and data including, but not limited to, computer tapes or discs, files and tapes furnished or prepared by Consultant or any of its subcontractors in the course of performance of this Agreement, shall be and remain the sole property of City. Consultant agrees that any such documents or information shall not be made available to any individual or organization without the prior consent of City. Any use of such documents for other projects not contemplated by this Agreement, and any use of incomplete documents, shall be at the sole risk of City and without liability or legal exposure to Consultant. City shall indemnify and hold harmless Consultant from all claims, damages, losses, and expenses, including attorneys' fees, arising out of or resulting from City's use of such documents for other projects not contemplated by this Agreement or use of incomplete documents furnished by Consultant. Consultant shall deliver to City any findings, reports, documents, information, data, in

any form, including but not limited to, computer tapes, discs, files audio tapes or any other Project related items as requested by City or its authorized representative, at no additional cost to the City.

6.12. <u>Public Records Act Disclosure</u>: Consultant has been advised and is aware that all reports, documents, information and data including, but not limited to, computer tapes, discs or files furnished or prepared by Consultant, or any of its subcontractors, and provided to City may be subject to public disclosure as required by the California Public Records Act (California Government Code Section 6250 et. seq.). Exceptions to public disclosure may be those documents or information that qualify as trade secrets, as that term is defined in the California Government Code Section 6254.7, and of which Consultant informs City of such trade secret. The City will endeavor to maintain as confidential all information obtained by it that is designated as a trade secret. The City shall not, in any way, be liable or responsible for the disclosure of any trade secret including, without limitation, those records so marked if disclosure is deemed to be required by law or by order of the Court.

6.13. <u>Responsibility for Errors</u>. Consultant shall be responsible for its work and results under this Agreement. Consultant, when requested, shall furnish clarification and/or explanation as may be required by the City's representative, regarding any services rendered under this Agreement at no additional cost to City. In the event that an error or omission attributable to Consultant occurs, then Consultant shall, at no cost to City, provide all necessary design drawings, estimates and other Consultant professional services necessary to rectify and correct the matter to the sole satisfaction of City and to participate in any meeting required with regard to the correction.

6.14. <u>Prohibited Employment</u>: Consultant will not employ any regular employee of City while this Agreement is in effect.

6.15. <u>Order of Precedence</u>: In the event of an inconsistency in this Agreement and any of the attached Exhibits, the terms set forth in this Agreement shall prevail. . If, and to the extent this Agreement incorporates by reference any provision of the RFP or the Response, such provision shall be deemed a part of this Agreement. Nevertheless, if there is any conflict among the terms and conditions of this Agreement and those of any such provision or provisions so incorporated by reference, this Agreement shall govern over both the Response and the RFP and the Response shall govern over the RFP.

6.16. <u>Costs</u>: Each party shall bear its own costs and fees incurred in the preparation and negotiation of this Agreement and in the performance of its obligations hereunder except as expressly provided herein.

6.17. <u>No Third Party Beneficiary Rights</u>: This Agreement is entered into for the sole benefit of City and Consultant and no other parties are intended to be direct or incidental beneficiaries of this Agreement and no third party shall have any right in, under or to this Agreement.

6.18. <u>Headings</u>: Paragraphs and subparagraph headings contained in this Agreement are included solely for convenience and are not intended to modify, explain or to be a full or accurate description of the content thereof and shall not in any way affect the meaning or interpretation of this Agreement.

6.19. <u>Construction</u>: The parties have participated jointly in the negotiation and drafting of this Agreement. In the event an ambiguity or question of intent or interpretation arises with respect to

this Agreement, this Agreement shall be construed as if drafted jointly by the parties and in accordance with its fair meaning. There shall be no presumption or burden of proof favoring or disfavoring any party by virtue of the authorship of any of the provisions of this Agreement.

6.20. <u>Amendments</u>: Only a writing executed by the parties hereto or their respective successors and assigns may amend this Agreement.

6.21. <u>Waiver</u>: The delay or failure of either party at any time to require performance or compliance by the other of any of its obligations or agreements shall in no way be deemed a waiver of those rights to require such performance or compliance. No waiver of any provision of this Agreement shall be effective unless in writing and signed by a duly authorized representative of the party against whom enforcement of a waiver is sought. The waiver of any right or remedy in respect to any occurrence or event shall not be deemed a waiver of any right or remedy in respect to any other occurrence or event, nor shall any waiver constitute a continuing waiver.

6.22. <u>Severability</u>: If any provision of this Agreement is determined by a court of competent jurisdiction to be unenforceable in any circumstance, such determination shall not affect the validity or enforceability of the remaining terms and provisions hereof or of the offending provision in any other circumstance. Notwithstanding the foregoing, if the value of this Agreement, based upon the substantial benefit of the bargain for any party is materially impaired, which determination as made by the presiding court or arbitrator of competent jurisdiction shall be binding, then both parties agree to substitute such provision(s) through good faith negotiations.

6.23. <u>Counterparts</u>: This Agreement may be executed in one or more counterparts, each of which shall be deemed an original. All counterparts shall be construed together and shall constitute one agreement.

6.24. <u>Corporate Authority</u>: The persons executing this Agreement on behalf of the parties hereto warrant that they are duly authorized to execute this Agreement on behalf of said parties and that by doing so, the parties hereto are formally bound to the provisions of this Agreement.

**IN WITNESS WHEREOF**, the parties hereto have caused this Agreement to be executed by and through their respective authorized officers, as of the date first above written.

CITY OF COSTA MESA, A municipal corporation

Mayor of the City of Costa Mesa CONSULTANT Date: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

Name and Title

Social Security or Taxpayer ID Number

ATTEST:

City Clerk and ex-officio Clerk of the City of Costa Mesa

### APPROVED AS TO FORM:

	Date:	_
City Attorney		
APPROVED AS TO INSURANCE:		
Risk Management	Date:	
APPROVED AS TO CONTENT:		
Project Manager	Date:	

SUBJECT	POLICY NUMBER	EFFECTIVE DATE	PAGE
DRUG-FREE WORKPLACE	100-5	8-8-89	1 of 3

#### BACKGROUND

Under the Federal Drug-Free Workplace Act of 1988, passed as part of omnibus drug legislation enacted November 18, 1988, contractors and grantees of Federal funds must certify that they will provide drug-free workplaces. At the present time, the City of Costa Mesa, as a sub-grantee of Federal funds under a variety of programs, is required to abide by this Act. The City Council has expressed its support of the national effort to eradicate drug abuse through the creation of a Substance Abuse Committee, institution of a City-wide D.A.R.E. program in all local schools and other activities in support of a drug-free community. This policy is intended to extend that effort to contractors and grantees of the City of Costa Mesa in the elimination of dangerous drugs in the workplace.

#### PURPOSE

It is the purpose of this Policy to:

- 1. Clearly state the City of Costa Mesa's commitment to a drug-free society.
- 2. Set forth guidelines to ensure that public, private, and nonprofit organizations receiving funds from the City of Costa Mesa share the commitment to a drug-free workplace.

#### POLICY

The City Manager, under direction by the City Council, shall take the necessary steps to see that the following provisions are included in all contracts and agreements entered into by the City of Costa Mesa involving the disbursement of funds.

- 1. Contractor or Sub-grantee hereby certifies that it will provide a drug-free workplace by:
- a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in Contractor's and/or subgrantee's workplace, specifically the job site or location included in this contract, and specifying the actions that will be taken against the employees for violation of such prohibition;

SUBJECT	POLICY	EFFECTIVE	PAGE
DRUG-FREE WORKPLACE	<b>NUMBER</b> 100-5	<b>DATE</b> 8-8-89	2 of 3

- b. Establishing a Drug-Free Awareness Program to inform employees about:
- 1. The dangers of drug abuse in the workplace;
- 2. Contractor's and/or sub-grantee's policy of maintaining a drug-free workplace;
- 3. Any available drug counseling, rehabilitation and employee assistance programs; and
- 4. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

# c. Making it a requirement that each employee to be engaged in the performance of the contract be given a copy of the statement required by subparagraph A;

- d. Notifying the employee in the statement required by subparagraph 1 A that, as a condition of employment under the contract, the employee will:
  - 1. Abide by the terms of the statement; and
  - 2. Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction;

# e. Notifying the City of Costa Mesa within ten (10) days after receiving notice under subparagraph 1 D 2 from an employee or otherwise receiving the actual notice of such conviction;

- f. Taking one of the following actions within thirty (30) days of receiving notice under subparagraph 1 D 2 with respect to an employee who is so convicted:
  - 1. Taking appropriate personnel action against such an employee, up to and including termination; or
  - 2. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health agency, law enforcement, or other appropriate agency;

SUBJECT	POLICY	EFFECTIVE	PAGE
DRUG-FREE WORKPLACE	<b>NUMBER</b> 100-5	<b>DATE</b> 8-8-89	3 of 3

- g. Making a good faith effort to maintain a drug-free workplace through implementation of subparagraphs 1 A through 1 F, inclusive.
  - 2. Contractor and/or sub-grantee shall be deemed to be in violation of this Policy if the City of Costa Mesa determines that:
  - a. Contractor and/or sub-grantee has made a false certification under paragraph 1 above;
  - b. Contractor and/or sub-grantee has violated the certification by failing to carry out the requirements of subparagraphs 1 A through 1 G above;

3. Should any contractor and/or sub-grantee be deemed to be in violation of this Policy pursuant to the provisions of 2 A, B, and C, a suspension, termination or debarment proceeding subject to applicable Federal, State, and local laws shall be conducted. Upon issuance of any final decision under this section requiring debarment of a contractor and/or sub-grantee, the contractor and/or sub-grantee shall be ineligible for award of any contract, agreement or grant from the City of Costa Mesa for a period specified in the decision, not to exceed five (5) years. Upon issuance of any final decision recommending against debarment of the contractor and/or sub-grantee, the contractor and/or sub-grantee shall be eligible for compensation as provided by law.

c. Such number of employees of Contractor and/or sub-grantee have been convicted of violations of criminal drug statutes for violations occurring in the workplace as to indicate that the contractor and/or sub-grantee has failed to make a good faith effort to provide a drug-free workplace.

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A	GENERAL LIABILITY		8/15/2009	8/15/2010	EACH OCCURRENCE	\$1,000,000
	X COMMERCIAL GENERAL LIABILITY				FIRE DAMAGE (Any one fire)	\$1,000,000
	CLAIMS MADE X OCCUR				MED EXP (Any one person)	\$10,000
1					PERSONAL & ADV INJURY	\$1,000,000
					GENERAL AGGREGATE	\$2.000.000
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	POLICY X PRO- JECT LOC					
A	AUTOMOBILE LIABILITY		8/15/2009	8/15/2010	COMBINED SINGLE LIMIT (Ea accident)	\$1,000,000
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	X HIRED AUTOS X NON-OWNED AUTOS				BODILY INJURY (Per accident)	\$
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DESC	RIPTION OF OPERATIONS/LOCATIONS/VEH	ICLES/EXCLUSIONS ADDED BY ENDORSEMEN	T/SPECIAL PROVISIO	NS	RECE	VED
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POLICY NUMBER:		COMMERCIAL GENERAL LIABILITY
THIS ENDORSE	MENT CHANGES THE POLICY.	PLEASE READ IT CAREFULLY.
ADDITIO	NAL INSURED – OWN CONTRACTORS – (I	ERS, LESSEES OR FORM B)
This endorsement modifies	insurance provided under the following:	
COMMERCIAL GENER	AL LIABILITY COVERAGE PART.	
Name of Person or Organ	SCHEDULE	
(If no entry appears above as applicable to this endors	, information required to complete this element.)	ndorsement will be shown in the Declarations
CG 20 10 11 85	Copyright, Insurance Services Office,	Inc., 1984 Page 1 of 1 C

\*Samplex

POLICY NUMBER: \_\_\_

COMMERCIAL GENERAL LIABILITY ECG 24 514 05 00

#### THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

### PRIMARY AND NONCONTRIBUTORY PROVISION – YOUR OPERATIONS

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

Paragraph 4., Other Insurance of Conditions (Section IV) is amended by the addition of the following:

If insurance similar to this insurance is held by a person or organization that is:

a. An owner of real or personal property on which you are performing operations; or

b. A contractor on whose behalf you are performing operations,

this insurance is primary to that other insurance, and that other insurance shall not contribute to amounts payable under this insurance, for liability arising out of your ongoing operations performed for that person or organization under a written contract. However, this does not apply to any person or organization:

- From whom you did not receive a specific written request that this insurance be primary insurance, or if you
  did not receive that request prior to the date that your operations for that person or organization commenced;
  or
- **b.** For whom a certificate of insurance evidencing that request is not on file with, or received by, us prior to sixty days after the end of the policy period for this insurance.

ECG 24 514 05 00

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